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(54) Title: A METHOD FOR PREVENTING OR CONTROLLING CATARACT

(57) Abstract

The present invention relates to a method for preventing or controlling pathological changes which occur in association with cataract formation in the mammalian eye by reducing the amount of or inhibiting the action of transforming growth factor-beta (TGF $\beta$ ). The invention also relates to the use of inhibitors of TGF $\beta$  to prevent or minimise "aftercataract".

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# A METEOD FOR PREVENTING OR CONTROLLING CATARACT

#### Technical Field

The present invention relates to a method for preventing or controlling pathological changes which occur in association with cataract formation in the mammalian eye by reducing the amount of or inhibiting the action of transforming growth factor-beta(TGFB). The invention also relates to the use of inhibitors of TGFB to prevent or minimise "aftercataract"

10 Background Art

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Cataract is an opacity of the lens that interferes It is one of the most common of eye with vision. diseases and, though it may occur at any time in life, it often accompanies aging. In the USA, for example, up to 45% of people aged between 74 and 89 years suffer from cataract. Currently, the most commonly used treatment for cataract is surgical removal of the lens cells and subsequent implantation of a synthetic replacement lens within the remaining lens capsule. However, implantation of a synthetic lens may only temporarily restore vision because residual cells associated with the lens capsule often grow rapidly and form new opacities. The latter condition is known as "aftercataract" or post-operative capsular opacification.

The TGFR family consists of a group of related proteins, the most extensively studied members being TGFS1, TGFR2 and TGFR3 and it has been reported that these are all present in the eye.

## Disclosure of the Invention

- In one aspect, the present invention provides a method of preventing or controlling cataract or cataract-like disorders in the eye of a mammalian subject which comprises administering to the subject an effective amount of one or more inhibitors of TGFS.
- Preferably, the mammalian subject is a human being

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but the present invention is also suitable for treating cataract or cataract-like disorders in other animals such as horses, cats, dogs or the like.

Typically, the inhibitors of TGFS are selected from proteins, glycoproteins and proteoglycans.

Suitable proteins include antibodies, peptide "growth factors" such as FGF, or the like.

Suitable glycoproteins include  $\alpha_2$ -macroylobulin, laminin, collagen or the like.

Suitable proteoglycans include substances such as 10 decorin, heparan sulface proteoglycans, biglycan or the like.

In another aspect, the present invention provides an ophchalmological formulation comprising one or more inhibitors of TGFB in a pharmaceutically acceptable carrier.

In a further aspect, the present invention provides a method of preventing or controlling "aftercataract" formation in the eye of a mammalian subject following lens implant surgery which comprises implanting in the eye of the subject a lens coated with one or more TGFS inhibitors.

In yet another aspect, the present invention provides a lens implant coated with one or more TGFS inhibitors.

In yet a further aspect, the present invention provides the use of inhibitors of TGFC in the manufacture of an ophthalmological formulation for preventing or controlling cataract or cataract-like disorders.

## Brief Description of Drawings

Figure 1 shows phase contrast micrographs of lens epithelial explants from 21-day-old rats cultured with TGFS2 and non-immune IgG (A,B) or with TGFS and anti-TGFS IgG (C,D). Explants were photographed after 3 days (A,C) and 5 days (B,D) of culture.

## Modes for Carrying Out the Invention

The biological activity of TGFS can be inhibited in a number of ways. One method of inhibiting the

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biological activity is by using an antibody directed against an active region of the TGFS molecule. biological activity can also be inhibited by the use of other molecules which sequester, inhibit or inactivate TGFS. For example, proteoglycans such as decorin act as specific TGFB-binding proteins.

The present inventors have shown that the aqueous and vitreous that surround the lens of the eye contain molecules that inhibit the cataract-changes induced in lens colls by TGFB and one or more of several inhibitory molecules mentioned above have been reported to be present in the occular media.

The TGFS inhibitors can be administered according to the present invention either by topical application, by introduction into one or more chambers of the eye (for 15 example, the anterior chamber), or as an intravenous injection at a site from which the inhibitors can be readily transported to the eye via the circulatory When the TGFS inhibitor is  $lpha_2$ -macroglobulin, this can also be administered by mouth or by some other 20 suitable route other than by way of an ophthalmological preparation. For example, it may be possible to provide lens cells with elevated levels of  $\alpha_2$ -macroglobulin by administering a substance which causes an increase in serum levels of  $\alpha_2$ -macroglobulin by affecting its 25 synthesis or breakdown or enhancing its degree of transfer into the ocular media. Molecules related to  $lpha_2$ -macroglobulin ie, derived from it or specifically designed to mimic its TGFS inhibitory properties but 30 perhaps better able to pass through cellular membranes or the gut, may be administered in topical applications or by mouth or by other route.

The effective amount of the inhibitors of TGFS required for use in the treatments according to present invention will vary with the inhibitor used, with the route of administration, the stage of condition under treatment and the host undergoing treatment, and is ultimately at the discretion of the physician.

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Typically, the TCFE inhibitors are presented as a pharmaceutical or ophthalmological formulation. The treatment can be used as an adjunct to eye surgery to inhibit cataract-related changes that may occur as a result of surgical intervention as, for example, in the formation of "aftercataract" following implantation of synthetic lens material. The present invention may also be suitable for treatment of individuals otherwise ar greater than normal risk of cataract formation or of being exposed to elevated TGFS levels near the lens.

Most of the inhibitors of TCFC mentioned above are commercially available.

Decorin and highycan can be obtained by purification according to Choi et al. Note that PGI and PGII are synonyms for bighycan and decorin respectively.

Heparan sulfate proteoglycans can be obtained according to the method of Yanagishita et al.

Ophthalmological formulations υſ present invention are prepared according to conventional pharmaceutical formulating techniques. The carrier may be of any form depending on the form of preparation desired for administration and the formulation optionally contain other therapeutic ingredients. Typically, one or more inhibitors of TGFS can be included in conventional irrigation solutions or viscoelastic solutions. Lens implants coated with one or more TGFS inhibitors may contain other therapeutic agents and may be prepared according to conventional techniques. EXAMPLE 1...

Influence of TGFK alone and in combination with FGF on lens epithelial explants.

METHODS

Lens explants were prepared from both postnatal and adult rats and changes during 5 days culture with growth factor(s) were monitored by light and electron microscopy, immunolocalisation of laminin, heparan sulphate proteoglycan and fibre-specific crystallins, and crystallin ELISAs.

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Each experiment involved culturing explants for up to 5 days without added growth factors (controls), with TGFB, with a combination of TGFB and FGF (TGFB/FGF), or with FGF alone. FGF is another growth factor that 5 influences lens cell behaviour (Chamberlain and McAvoy, 1989; McAvoy et al., 1991). In some experiments, explants were prepared by a standard method used in our laboratory in which the adhering capsule serves as the substratum for the cells. In others, explants were inverted onto a laminin substratum. The latter method allows cell attachment, spreading and migration to be monitored as well as providing good visualisation of individual cells.

Bovine brain basic FGF was prepared and stored at 20°C as described by Chamberlain and McAvoy (1989). Ultrapure natural human TGF\$1 was obtained from Genzyme (Cambridge, MA) and stored at -80°C. Working stock solutions of TGFR and FGF were prepared (in culture medium or 1% bovine serum albumin-0.5 M NaCl phosphate-buffered saline, respectively) and centrifuged at 10,000 g for 10 min at 4°C just before use. Preparation and Culture of Lens Epithelial Explants:

Standard Method Eyes were removed from 10-day-old and 14-week-old Wistar rate under sterile conditions and placed in - -25 medium, that is, medium 199 containing bovine serum albumin and antibiotics as described by Hales et al (1992), pre-incubated at 37°C in 5% CO<sub>2</sub>/air. Lenses were removed and incubated in 2 ml medium for 45-90 min (postnatal) or 1-2 hr (adult). Epithelia were then peeled away from fibres and pinned out with the cellular surface uppermost in culture dishes containing 2 ml medium as described by McAvoy and Fernon (1984). whole epithelium was used, unless otherwise specified, and each dish contained 2-3 explants. 35

Approximately 3 hr after preparation of explants, medium was replaced (1 ml/dish) and 10  $\mu$ l samples of stock solutions of TGFS and/or FGF were added, as

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required, to give final concentrations of 20 and 40 ng/ml, respectively. Explants were cultured for 5 days with daily monitoring by phase contrast microscopy. At appropriate times explants were processed for light or electron microcopy as described below. Alternatively, to assess the accumulation of fibre-specific crystallins, at the end of the culture period, explants were placed in 10 mM EDTA-0.02% Triton X-100, pH 10 (two explants in 200  $\mu$ l) and stored at -20°C, then used for 6- and  $\gamma$ -crystallin ELISAs with standards ranging from 0-20 ng/well.

# <u>Preparation and Culture of Lens Epithelial Explants; on Laminin Substratum</u>

This method is as described by Hales et al (1992). Briefly, on the day before the experiment, culture dishes 15 were pre-coated with laminin. Whole explants were then prepared as described above, but with the cellular surface placed face down on the laminin and using lenses from 21-day-old rats; explants from rats of this age show strong migratory response to FGF (unpublished 20 observation). Each dish contained three explants. Growth factor treatments and culture conditions were as described for standard explants, except that a lower concentration of FGF, 2 ng/ml, was used to ensure that the main response to FGF alone was cellular migration 25 rather than fibre differentiation. Responses were monitored daily by phase contrast microscopy.

#### Microscopy

Explants used for immunofluorescent localisation
were collected at the end of the culture period, fixed in
Carnoy's fixative for 20 min at room temperature,
transferred to 70% ethanot, then covered with a drop of
melted 2.5% agar, before dehydrating in ethanol and
embedding in paraffin. Sections were cut perpendicular
to the explant surface and stained with haematoxylinphloxine or used for immunolocalisation of laminin,
heparan sulphate proteoglycan (HSPG) or β- and γcrystallins. For each antibody and each explant 20-30

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sections cut through the central region were examined, and at least two explants were processed for each growth factor treatment. Controls for non-specific fluorescence were included routinely, that is, sections were treated with non-immune rabbit serum instead of specific antibody. For whole mounts, explants were fixed in the culture dish with 100% othanol and stained with haematoxylin-phloxine.

old rats were processed for transmission electron microscopy (TEM) and for scanning electron microscopy (SEM) as described by Lovicu and McAvoy (1992); explants were collected at 3 or 5 days of culture. Explants from adult rats were processed for SEM only at 5 days. For both SEM and TEM, at least two explants were viewed for each treatment and, for TEM, 20-30 grids were viewed per explant.

#### RESULTS

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Epithelial explants from postnatal rats (10 and 21 days old) were used for initial detailed studies. Because of the unusual nature of the observed responses to TGFS, a brief comparative study was then carried out using explants from adult rats.

# Liens Explants from 10-day-old Rats; Standard Method

Phase contrast microscopy and SEM. In control and TGFStreated explants the cells retained a characteristic
epithelial cell morphology throughout the culture period,
that is, they were present in a monolayer with
cobblestone-like packing. In both cases, some cell debris
was detected on the monolayer surface. In TGFS-treated
explants only, single cells or small groups of cells were
also occasionally detected on the monolayer surface. SEM
of explants cultured for 5 days showed that the apical
surface of some cells in TGFS-treated explants overlapped
onto neighbouring cells.

TGFB/FGF- and FGF-treated explants were clearly distinguishable from controls within the first day of culture and indistinguishable from each other at this

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stage. Cells were irregularly packed and intercellular spaces were common, an explant morphology that generally associated with active cell migration (McAvoy and Chamberlain, 1989; McAvoy, 1908). After 2 days 5 culture some cells in the TGFB/FGF-treated, but not in the FGF-treated, explants were extensively elongated. The number of elongated cells varied between explants; they generally formed only a small proportion of the cellular population but because they often formed regular rows they were quite distinct from the other cells in the explant which appeared similar to those in the FGFtreated emplants. This marked difference treatments was even more apparent at 3 days culture due to more cells becoming extensively elongated in TGFE/FGFtreated explants. At this stage SEM showed that many of the elongated cells were attached to neighbouring cells at multiple sites along their length.

By 4 and 5 days culture, most of the cells in TGFB/FGF-treated explants were in multilayers and all these explants had developed several regions where the cells were arranged in rosettes with elongated cells radiating out in a circular array from a focal point. Outside these rosettes, which occupied up to about 50% of the explant surface, there were some areas where similar extensively elongated cells were arranged in parallel arrays. Remaining cells were less elongated and appeared irregularly arrayed as in FGF-treated explants.

SEM showed that, in regions outside the rosettes and parallel arrays of extensively elongated cells, cells had numerous interlocking processes and appeared similar to the early differentiating fibres seen in explants treated with FGF alone. The morphological changes in explants from 10-day-old rats undergoing fibre differentiation in response to this concentration of FGF have been reported in detail elsewhere (Lovicu and McAvoy, multilayering and the formation of numerous interlocking processes are well-established features of this process (Lovicu and McAvoy, 1992; Lovicu and McAvoy, 1989). In

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the FGF/TGFB-treated explants, occasional patches of fibrillar extracellular matrix (ECM)-like material were noted on the explant surface. This matrix was dense and obscured the cells below.

TEM. Cells in explants cultured with FGF and TGFR/FGF for 5 days became multilayered and exhibited features of early fibre differentiation including elongation, sparse nucleolar RNA cytoplasmic organelles and aggregations; ball-and-socket joints typical of fibre differentiation were also detected. Additionally in TGFB/FGF-treated explants, cells exhibiting margination or chromatin and cytoplasmic condensation were common, and membrane-bound cellular fragments and electron-dense bodies resembling secondary lysosomes were found within MANY CELLS that Otherwise appeared normal. These features are characteristic of apoptosis or programmed cell death (Wyllie et al. 1980; Williams et al., 1992). Similar apoptotic changes were also detected in TGFB/FGF-treated explants at 3 days.

Pockets of ECM-like granular material were commonly detected between cells (and sometimes appeared to be within cells) in TGFE/FGF-treated explants. Often near the cell membrane this material was present in a laminar arrangement and coated pits and vesicles were common in such regions. Cells with prominent rough endoplasmic reticulum and Golgi, which also usually showed abundant arrays of microfilaments, were also found frequently in these explants.

In explants cultured with TGFR alone, the epithelial cells remained in a monolayer and were similar to controls except that, in the presence of TGFE, spaces were often present between cells. This, together with the overlapping of cells suggests that TGFS may be causing some disturbance of cell-cell interactions.

Immunohistochemical localisation of laminin and HSPG. The ECM molecules laminin and HSPG are both found in the normal lens capsule (Parmigiani and McAvey, 1991; Mohan and Spiro, 1986) and, an expected, reactivity for both

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laminin and HSPG was detected in the capsule in all explants irrespective of treatment.

In TGFR/FGF-treated explants, reactivity for both laminin and HSPG was also localised within the explant in sites that were approximately similar in size and distribution to the pockets of ECM-like material door by TEM. In FGF-treated explants, a rew such regions were also detected; however, these were generally smaller and not as numerous as in explants treated with both growth factors. More sites exhibited reactivity for laminin than for HSPG and generally laminin reactivity was stronger.

In controls and TGFS-treated explants no pockets of reactivity for laminin or HSPG were detected within the cellular layer. Thus the intercellular spaces revealed by

TEM in TGFS-treated explants did not contain ECM. B-crystallin accumulation. To differentiation we measured the fibre-specific  $\mathfrak L$ - and  $\gamma$ crystallin content of explants at the end of the 5 day culture period by ELISA. Significant B-crystallin accumulation occurred only in explants cultured with TGFE/FGF or FGF (P = 0.001, compared with control); an apparent enhancement of G-crystallin accumulation in TGFB/FGF-treated explants relative to the FGF-treated explants did not reach statistical significance. None of 25 the treatments induced significant accumulation of y-

Complementary immunolocalisation studies confirmed these findings and revealed that G-crystallin appeared to be distributed throughout most cells in both TGFE/FGFand FGF-treated explants.

crystallin within the 5 day culture period.

Lens Explants from 21-day-old Rats: on Laminin Substratum

When explants were cultured cell surface down on a laminin substratum without growth factors, cells spread and migrated off the capsule onto the substratum forming an annulus around the explant. This process continued over the 5 day culture period and was significantly enhanced by FGF (Hales et al., 1992). The addition of TGFR: however inhibited -------------- may may make the she

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presence or absence of FGF so that a full annulus of cells did not develop; there were only a few isolated outgrowths of cells around the explant perimeter, and spreading and migration appeared to cease after 2 days of culture. This is consistent with the observation that the cells at the leading edge of these outgrowths had few of the pseudopodia characteristic of rapidly migrating cells seen in FGF-treated explants at 2 days. There was no apparent difference between TGFB- and TGFB/FGF-treated explants throughout the culture period.

During the first day of culture, all the cells in TGFB treated explants (that is, with or without FGF) had a morphology very similar to those in controls; however, by day 2 most of the cells that had spread onto the laminin substratum had become substantially elongated, some to the extent of being spindle-shaped or needlelike. In some regions cells that remained under capsule also become elongated and aligned; these regions tended to extend between islands of epithelial-like cells. By 3 days of culture, explants treated with TGFR mostly consisted of elongated cells and under the capsule differences between the peripheral and central regions of the explants became detectable. The periphery was well populated with multilayers of aligned elongated cells, whereas cells in the central region were in reticular arrangements exposing regions of bare capsule.

Wrinkling of the capsule was noted in all explants cultured with TGFB under these explant conditions. The wrinkles had a reticular arrangement and were primarily located in the central region of the explant. wrinkles were most obvious at 2 days and generally became less pronounced during the remainder of the 5 day culture period.

Cell loss also appeared to be a major feature of explants exposed to TGFR. Bare patches of capsule were initially detected in the central region of the explant at 3 days and condensed nuclei were readily visible in cells that had spread onto the laminin. Cell numbers then

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progressively decreased and by 5 days the majority of the cells had been lost from the explant; the remaining cells retained the reticular arrangement first observed at 3 days.

5 Lens Explants from Adult Rats: Standard Method Phase contrast and SEM. The morphological changes observed by phase contrast microscopy experiments were essentially similar to those reported for the explants from 21-day old rats cultured on although as expected under these 10 laminin, conditions no cells migrated off the capsule. Throughout the culture period there were no clear differences between TGFR- and TGFR/FGF-treated explants. During day 1, explants cultured with TGFS retained the cobblestone appearance characteristic of controls, but by 2 days many 15 of the cells had elongated. Bare patches of capsule were detected at 3 days and these increased progressively during the culture period.

The latter finding was confirmed by SEM at 5 days which also revealed that the morphology of cells that 20 remained in explants cultured with TGFR for 5 days was variable. Often cells were present in reticular arrays which seemed to consist mainly of mosaics of cells many of them epithelial-like. In other regions many cells were elongated and distinctly spindle- or needle-like and in 25 some of these the cellular surface was covered with fine blebs. In the explant periphery, where more cells tended to survive, they were often present either as multilayers of smooth surfaced spindle-shaped cells or as more rounded cells with distinct surface blebbing typical of 30 cells undergoing apoptotic cell death (Wyllie et al., 1980; Williams et al., 1992).

In FGF-treated explants, most cells retained an epithelial morphology although in the periphery some cells showed slight elongation characteristic of early fibre differentiation (Lovicu and McAvoy, 1992). Controls stayed as an epithelial monolayer throughout the culture period.

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Immunohistochemical localisation studies. The pockets of laminin or HSPG reactivity reported above were not detected in explants from adult rats examined at the end of the culture period, irrespective of treatment. Reactivity for ß-crystallin was detected in some cells interspersed throughout the explant in controls and FGF-treated explants; in both TGFß and TGFß/FGF-treated explants the clumps of cells that survived for 5 days also included some cells that fluoresced for ß-crystallin. No  $\gamma$ -crystallin was detected in any of the explants. There was thus no evidence that any of the treatments stimulated ECM production or fibre-specific crystallin accumulation during the 5 day culture period.

TGFS induced cells 15 in explants to undergo an extensive and rapid elongation which had teatures that distinguished it from FGF-induced fibre differentiation. TGFS also induced accumulation of extracellular matrix, capsule wrinkling, cell death by apoptosis 20 distinctive arrangements of cells. These TGFB-induced responses are characteristic of the changes reported to occur during formation of various types of cataracts (Novotny and Pau, 1984; Eshagian, 1982; Eshagian and Streeten, 1980; Green and McDonnell, 1985). 25 explants from 10-day-old rate responded to TGFS only in the presence of FGF. Comparable explants from adult rats, or from 21-day-old rats cultured on a laminin substratum, responded readily to TGFS whether or not FGF was present.

#### 30 EXAMPLE 2

Detailed description of an explant study using an antibody against TGFB to inhibit TGFB-induced cataract-like changes.

#### METHOD

Lens epithelial explants (2 per culture dish) were prepared from 21-day-old rate and trimmed to remove the peripheral region as described elsewhere (See Example 1, Standard Method). Explants were preincupated in culture

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medium at  $37^{\circ}\text{C}$  in 5%  $\text{CO}_2/\text{air}$  for approximately 3 hours before use.

A pan-specific polyclonal antibody against TGFß (rabbit IgG; British Bio-technology, Abingdon, UK; Cat. No. BDA 47,) was used: this neutralises TGFß1, ß1.2, ß2, ß3, and ß5. This IgG and non-immune rabbit IgG were reconstituted in sterile phosphate-buffered saline to a concentration of 3 mg IgG/ml.

TGFβ2 (Genzyme, Cambridge, MA) was diluted with sterile medium to a concentration of 0.25 ng/10 μl. Under sterile conditions, 33 μl immune or non-immune IgG solution was mixed with 20 μl TGFβ2 stock solution and 47 μl medium, incubated at 37°C in 5% CO<sub>2</sub>/air for 30 min, then diluted to 2 ml with medium. Preincubation medium was removed from two culture dishes and 1 ml TGFβ-IgG mixture was added to each. All explants were cultured for 5 days with daily monitoring by phase contrast microscopy. Explants cultured with non-immune IgG served as controls for any effects of IgG itself on TGFβ activity.

Figure 1 shows phase contrast micrographs of lens epithelial explants from 21-day-old rats cultured with TGF\$2 and non-immune IgC (A,B) or with TGF\$3 and anti-TGF\$3 IgG (C,D). Explants were photographed after 3 days (A,C) and 5 days (B,D) of culture. TGF\$3 induces extensive elongation of cells (A, arrow); subsequently many cells are lost exposing regions of capsule which show wrinkles (B, arrow). Anti-TGF\$3 completely blocks these changes and epithelial cells remain in a normal closely packed cobble-stone arrangement (C,D). The final concentrations of TGF\$5 and IgG were 0.25 ng/ml and 50 μg/ml, respectively.

#### RESULTS

In the presence of non-immune IgG, TGFS induced rapid elongation which occurred within 2-3 days (Fig. 1A) and by 5 days cells had been lost from the explant revealing wrinkling of the underlying capsule (Fig. 1B). These changes are typical of changes described in detail

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in Example 1 for explants cultured with TGFR in the absence of IgC.

In the presence of anti-TGFR, these changes were completely blocked. Throughout the 5 day culture period the explants retained their original epithelial-like morphology (Fig. 1C, D) and were indistinguishable from explants cultured in medium alone.

#### EXAMPLE 3

Detailed description of an explant study using aqueous 10 and vitreous to inhibit  $TCF\beta$ -induced cataract like changes

#### METHOD .

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Aqueous and vitreous were obtained from the eyes of freshly slaughtered 2 to 3 year-old-cattle as follows. Immediately on removal of the eye, the aqueous (about 1.5 ml) was collected using a sterile syringe fitted with a 23 gauge needle and an incision was made around the cornea to gain access to the lens. After carefully removing adhering iris, the lens was lifted out and the vitreous adjacent to the lens, mainly liquid vitreous, was collected (2-3 ml) using a syringe without needle and taking care to avoid contamination with retina. The whole procedure was completed within about 1 hour of the death of the animals. Samples were transported to the laboratory on ice and used as soon as possible.

Lens epithelial explants (2 per culture dish) were prepared from 21-day-old rats as described previously (See Example 1, Standard Method). Samples of aqueous or vitreous were diluted with an equal volume of sterile culture medium (defined in Example 1), using repeated passage through a 23 gauge needle to ensure thorough mixing. These mixtures were equilibrated at 37°C for 30 minutes in 5% CO<sub>2</sub>/air before use. Stock solutions containing 25 or 100 pg/10µl TGF\$2 (Genzyme, Cambridge, MA) were prepared in sterile medium. Nine treatment groups were then set up by replacing medium in culture dishes containing explants with 1 ml medium or diluted aqueous or vitreous, with or without added TGF\$\beta\$, as

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indicated in Table 1. Explants were cultured and monitored for cataract-like changes by phase contrast microscopy. In particular, each explant was graded according to the extent of spindle-like elongation and cell death, which are characteristically observed in explants cultured with TGF $\beta$  (See Examples 1 and 2). Explants were photographed on day 4.

#### RESULTS

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No significant changes were observed for any treatment on day 1; explants retained typical epithelial morphology. Explants cultured with medium alone did not change throughout the culture period. With continuing culture, explants cultured with TGFB showed typical cataract-like changes, with more cell death for 100 pg/ml than 25 pg/ml (Table 1). Aqueous virtually completely inhibited the 15 effects of 25 pg/ml TGF $\beta$  and partially inhibited the effects of 100 pg/ml. (With or without TGF $\beta$ , aqueous also caused some shrivelling of the capsule.) cultured with vitreous, with or without TGFB, showed changes typical of early fibre differentiation (cf. 20 Schulz et al., 1993), but there was no evidence of cataract-like changes; vitreous thus completely inhibited the cataract-like changes induced by TGF\$.

Table 1. Inhibition of TGFβ-induced cataract-like changes in rat lens epithelial explants by aqueous and vitreous

			concentration	n (pg/ml)	
		0	25	100	
30	Day 1:				
	Culture medium	-	+++/††	++++/††	
	Aqueous	•	•	++/††	
	Vitreous	fd	· fd	fd	



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_ 1	1	•

•	Day 4:			
	Culture medium	•	0/†††	0/††††
	Aqueous	-	•	0/†††
	Vitreous	fd	fd	£d
. 5	Day 5:			
	Culture medium	-	o/ttt	0/†††
	- Aqueous	• .	•	0/††††
	Vitreous	fd	fd	fd

Explants were cultured with medium or with diluted aqueous or vitreous, with or without the addition of  $TGF\beta$ , as indicated. Four explants were subjected to each treatment. Code: -, negligible change; + - ++++, indicates extent of spindle-like elongation; † - ††††, indicates extent of cell loss; o, elongation assessment was invalidated by cell loss; fd, changes typical of early fibre differentiation with no cataract-like changes.

#### SIGNIFICANCE

This study indicates that the aqueous and vitreous that 20 surround the lens of the eye contain molecules that inhibit the cataract-like changes induced in lens cells by  $TGF\beta$ . This effect may be due to the presence of one or more of several different kinds of inhibitory molecules which have been reported to be present in aqueous and vitreous: e.g. serum proteins, such as  $\alpha_2$ macroglobulin; proteoglycans, such as decorin or heparan sulphate proteoglycans; or other peptide 'growth factors' such as FGF. All these molecules have been reported to bind to and/or inhibit  $TGF\beta$  activity (LaMarre et al., 1991; Yamaguchi et al., 1992; McCaffrey et al., 1992; 30 Hales et al, in press).  $\alpha_2$ -macroglobulin is synthesised by the cornea (Twining et al. 1994) and is present in the aqueous (Ando et al., 1993); it probably enters the aqueous and vitreous along with other serum proteins 35 found in these media (see, for example, Beebe et al., 1986). It has been shown that decorin is present near the

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lens in proliferative vitreoretinopathy (Hagedorn et al., 1993). Heparan sulphate is present in the vitreous, in association with extracellular proteoglycans (Kamei et al., 1992). FGF is reported to be present in vitreous, and in much lower amounts in aqueous (Schulz ct al., 1993), and to suppress the formation of TGF\$\text{0}\$-induced spindle-cell formation in lens explants (Hales et al, in press). Other molecules known to bind to TGF\$ and/or inhibit its activity, which contributing to the observed inhibitory effects aqueous and/or vitreous, include biglycan (Yamuguchi et al., 1990), laminin and collagen (Paralkar et al., 1991).

EXAMPLE 4

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Detailed description of an explant study using  $\alpha_2$ . macroglobulin to inhibit  $TGF\beta$ -induced cataract-like 15 changes

#### METHOD

 $lpha_2$ -macroglobulin prepared from hovine plasma was obtained from Boehringer Mannheim Australia (Castle Hill, NSW; #602 442). Lens epithelial explants (2 per culture dish) 20 prepared from 21-day-old rats aз described previously (See Example 1, Standard Method). macroglobulin was dissolved in culture medium (defined in Example 1) at a final concentration of 400  $\mu$ g/ml. The solution was sterilised by passing through a 0.22  $\mu m$ 25 filter and a portion was diluted with an equal volume of sterile medium. These solutions were equilibrated at 37°C in 5% CO<sub>2</sub>/air before use. A stock solution containing 25  $pg/10\mu l$  TGF $\beta 2$  (Genzyme, Cambridge, MA) was prepared in sterile medium. Six treatment groups were then set up by 30 replacing medium in culture dishes containing explants with 1 ml medium or 1 ml medium containing 200 or 400  $\mu g/ml$   $\alpha_2$ -macroglobulin, with or without added TGFB, as Table 2. Explants were cultured and indicated in 35 monitored for cataract-like changes by phase contrast microscopy. In particular, each explant was graded according to the extent of spindle-like elongation and cell death, which are characteristically observed in





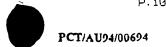
- 19 -

explants cultured with TGF $\beta$  (See Examples 1 and 2). Explants were photographed on days 3-5.

Table 2. Inhibition of TGF\$\beta\$-induced cataract-like changes in rat lens epithelial explants by  $\alpha_2$ -macroglobulin

Treatment TGFB2 concentration (pg/ml) U 25 Day 2: 10 Culture medium  $\alpha_2$ -MG, 200  $\mu$ g/ml  $\alpha_2$ -MG, 400  $\mu$ g/ml Day 3: Culture medium 15  $\alpha_2$ -MG, 200  $\mu$ g/ml  $\alpha_2$ -MG, 400  $\mu$ g/ml Day 4: Culture medium 0/†††  $\alpha_2$ -MG, 200  $\mu$ g/ml +/† 20  $\alpha_2$ -MG, 400  $\mu$ g/ml +/† Day 5: Culture medium 0/†††  $\alpha_2$ -MG, 200  $\mu$ g/ml -/† α,-MG, 400 μg/ml 🦠 -/†

Explants were cultured with medium, with or without the addition of  $\alpha_2$ -macroglobulin ( $\alpha_2$ -MG) and/or TCF $\beta$ , as indicated. Four explants were subjected to each treatment. Code: -, negligible change or reverted to predominantly epithelial morphology; + - ++++, indicates extent of spindle-like elongation; † - ††††, indicates extent of cell loss; o, elongation assessment



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was invalidated by cell loss.

#### RESULTS

No significant changes were observed in explants cultured with medium alone or with medium containing  $\alpha_2$ -macroglobulin; the explants retained typical epithelial morphology throughout the culture period. On days 2-5, explants cultured with TGF $\beta$  showed typical cataract-like changes (Table 2). The TGF $\beta$ -induced changes were substantially inhibited by including  $\alpha_2$ -macroglobulin in the medium.

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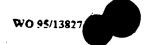
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#### Claims

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- 1. A method of preventing or controlling cataract or cataract-like disorders in the eye of a mammalian subject which comprises administering to the subject an effective amount of one or more inhibitors of TGPS.
- 2. A method according to claim 1 wherein the one or more inhibitors of TGFS are selected from proteins, glycoproteins and proteoglycans.
- 3. A method according to claim 2 wherein the protein inhibitors of TGFS are selected from antibodies and peptide growth factors.
  - 4. A method according to claim 2 wherein the glycoprotein inhibitors of TGFS are selected from  $\alpha_2$ -macroglobulin, laminin and collagen.
- 5. A method according to claim 2 wherein the proteoglycan inhibitors of TCFC are selected from decorin, heparam sulfate proteoglycans and biglycan.
  - 6. An ophthalmological formulation comprising one or more inhibitors of TGFS in a pharmaceutically acceptable carrier.
  - 7. An ophthalmological formulation according to claim 6 wherein the inhibitors of TGFB are as defined in claim 2, 3, 4 or 5.
- 8. A method of preventing or controlling
  "aftercataract" formation in the eye of a mammalian subject following lens implant surgery which comprises implanting in the eye of the subject a lens coated with one or more TGFS inhibitors.
  - 9. A method according to claim 3 wherein the TGFE inhibitors are as defined in claim 2, 3, 4 or 5.
    - 10. A lens implant coated with one or more TCFE inhibitors.
    - 11. A lens implant according to claim 10 coated with one or more TGFS inhibitors as defined in claim 2, 3, 4 or 5.
- 35 12. The use of inhibitors of TGFR in the manufacture of an ophthalmological formulation for preventing or controlling cataract or cataract-like disorders.

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13. Use according to claim 12 wherein the TGFS inhibitors are as defined in claim 2, 3, 4 or 5.

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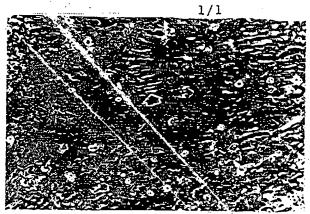


Fig.la

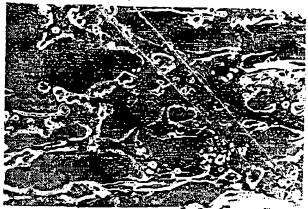


Fig.1B

Fig.1D

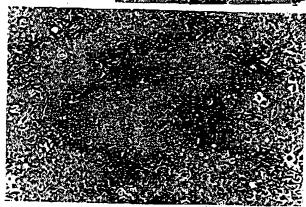


Fig.1C

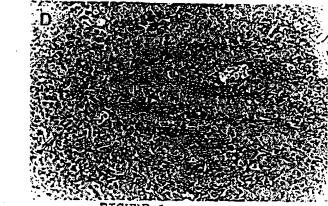


FIGURE 1

SUBSTITUTE SHEET (RULE 26)





A. Int. Cl. <sup>6</sup> A	CLASSIFICATION OF SUBJECT MATTER 51K 38/16, 38/17, 38/18, 38/39, 39/395		
According to	International Patent Classification (IPC) or to bo	th national classification and IPC	
В.	FIELDS SEARCHED		
Minimum do IPC A61K	cumentation searched (classification system follow	wed by classification symbols)	
Documentation AUP:IPC a	on searched other than minimum documentation to as above	o the extent that such documents are included	in the fields searched
Electronic da WPAT:- TC CASM:- as	ta base consulted during the international search (GF, transforming growth factor, CIF, cartilag above.	(name of data base, and where practicable, sea ge inducing factor	rch terms used)
C.	DOCUMENTS CONSIDERED TO BE RELEV	VANT	
Category*	Citation of document, with indication, where	appropriate, of the relevant passages	Relevant to Claim No.
P,X P,X	WO,A, 94/10187 (HSC RESEARCH AND PARTNERSHIP et al) 11 May 1994 (11.05.94).  WO,A, 94/09815 (CELTRIX PHARMAC) 11 May 1994 (11.05.94), see page 20 line	EUTICALS INC)	6-7, 12-13 6-7, 12-13
P,X	WO,A, 94/01124 (CELTRIX PHARMAC) 20 January 1994 (20.01.94) see page 1 lines 8-22, page 21 lines 28-36	EUTICALS INC)	1-3, 6-7, 12-13,
X Further in the	er documents are listed continuation of Box C.	X See patent family annex	
"A" docum not co earlier interna "L" docum or whi anothe docum exhibit docum for which in the control of the co	and categories of cited documents:  nent defining the general state of the art which is naidered to be of particular relevance document but published on or after the ational filing date tent which may throw doubts on priority claim(s) in its cited to establish the publication date of recitation or other special reason (as specified) tent referring to an oral disclosure, use, tion or other means tent published prior to the international filing date er than the priority date claimed	considered to involve an document is taken alone document of particular r invention cannot be considered inventive step when the with one or more others.	cited to understand the rilying the invention elevance; the claimed sidered novel or cannot be a inventive step when the elevance; the claimed sidered to involve an document is combined such documents, such bus to a person skilled in
	nual completion of the international search 995 (08.02.95)	Date of mailing of the international search r	
		JOHN G. HANSON Telephone No. (06) 2832262	





ategory*	Citation of document, with indication, where appropriate of the relevant passages	Relevant to Claim No
	WO,A, 93/21945 (THE REGENTS OF THE UNIVERSITY OF CALIFORNIA et	
x	al) 11 November 1993 (11.11.93)	6-7, 12-13
x	WO,A, 93/19783 (THE WHITTIER INSTITUTE FOR DIABETES AND ENDOCRINOLOGY)	6-7, 12-13
x	14 October 1993 (14.10.93)  WO,A, 93/10808 (LA JOLLA CANCER RESEARCH FOUNDATION) 10 June 1993 (10.06.93)	6-7, 12-13
x	WO,A, 93/09800 (LA JOLLA CANCER RESEARCH FOUNDATION et al) 27 May 1993 (27.05.93)	6-7, 12-13
x	WO,A, 92/17206 (THE VICTORIA UNIVERSITY OF MANCHESTER) 15 October 1992 (15.10.92)	6-7, 12-13
x	WO,A, 91/04748 (LA JOLLA CANCER RESEARCH FOUNDATION) 18 April 1991 (18.04.91)	6-7, 12-13
A	AU,A, 27748/92 (MARK CEDRIC GILLIES et al) 3 May 1993 (03.05.93)	
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This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

	Patent Document Cited in Search Report				Patent Family	Member		
wo	94/10187	AU	55410/94					<del> </del>
wo	94/09815	AU	56650/94	AU	64166/94	W0	94/23040	<del></del>
wo	94/01124	AU	46681/93					<del>, -</del>
wo	93/21945	AU	41118/93					
wo	93/19783	AU	39437/93	·				
wo	93/10808	AU NO	32429/93 942072	EP	616536	FI	942622	
wo	93/09800	AU	31385/93	FI	942244	NO	941821	
wo	92/17206	AU GB	14368/92 9106678	CA JP	2105652 6506205	EP	585242	
wo	91/04748	AU FI	66125/90 921353	CA JP	2065860 5503076	EP NO	494264 921119	
AU	27748/92	EP	607275	wo	93/06856		· · · · · · · · · · · · · · · · · · ·	

PCT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference P04828-XZ: AMP	FOR FURTHER ACTION		f Transmittal of International Preliminary ort (Form PCT/IPEA/416).		
International application No.	International filing dat	e	Priority Date		
PCT/AU 94/00694	11 November 1994	nber 1994 19 November 1993			
International Patent Classification (IPC)	or national classification	on and IPC			
Int. Cl. <sup>6</sup> A61K 38/16, 38/17, 38/18, 3	8/39, 38/395				
Applicant (1) THE UNIVERSITY OF S' (2) McAVOY, Johnston Willia		AIN, Coral Gwen	da		
1. This international preliminary Authority and is transmitted to			nis International Preliminary Examining		
2. This REPORT consists of a to	tal of 5 sheets, include	ding this cover sheet	<u>.</u>		
	he basis for this report a	nd/or sheets contain	scription, claims and/or drawings which have ing rectifications made before this Authority under the PCT).		
These annexes consist of a tot	al of 1 sheet(s).				
3. This report contains indications relat	ing to the following iten	ns:			
I X Basis of the report	rt				
II Priority					
III Non-establishme	nt of opinion with regar	d to novelty, inventi	ve step and industrial applicability		
IV Lack of unity of i	invention				
	ent under Article 35(2) value anations supporting such		ty, inventive step or industrial applicability,		
VI X Certain documen	•				
VII Certain defects in	n the international appli	cation			
VIII X Certain observati	ons on the international	application			
Date of submission of the demand		Date of completion of	t the report		
16 June 1995	1	8 December 1995	· .		
Name and mailing address of the IPEA	/AU A	Authorized Officer			
AUSTRALIAN INDUSTRIAL PROPERTY PO BOX 200			a. A. Jenkins		
WODEN ACT 2606		GILLIAN JENKI	ns S		
Facsimile No. (06) 285 3929	AUSTRALIA Facsimile No. (06) 285 3929 Telephone No. (06) 283 2252				

I.	Basis of the repo	ort 	
1.	This report has been response to an inverse report since they of	ritation under A	c basis of (Replacement sheets which have been furnished to the receiving Office in rticle 14 are referred to in this report as "originally filed" and are not annexed to the mendments.):
	the i	nternational ap	plication as originally filed.
	X the c	description,	pages 1-23, as originally filed,
			pages , filed with the demand,
			pages , filed with the letter of ,
			pages , filed with the letter of .
	X the c	claims,	Nos. 13, as originally filed,
			Nos. , as amended under Article 19,
			Nos., filed with the demand,
•			Nos. 1-12, filed with the letter of 29 November 1995,
			Nos., filed with the letter of.
	X the	drawings,	sheets/fig 1/1, as originally filed,
			sheets/fig , filed with the demand,
			sheets/fig , filed with the letter of ,
			sheets/fig , filed with the letter of .
2. T	he amendments have	e resulted in the	cancellation of:
	the de	escription,	pages
	the cla	aims,	Nos.
	the dr	rawings,	sheets/fig
3.			lished as if (some of) the amendments had not been made, since they have been considered as filed, as indicated in the Supplemental Box (Rule 70.2(c)).
4. A	dditional observation	ns, if necessary:	
			overs claims 1-5 and 8-9 which are to methods of treatment of the human or animal states of the PCT as excluded subject matter under Rule 67.1(iv).
I			

V.	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability;
	citations and explanations supporting such statement

l <b>.</b>	Statement			•
•	Novelty (N)	Claims 1-11		YES
		Claims 12-13	<b>3</b>	NO
	Inventive step (IS)	Claims 1-11	• •	YES
	• , ,	Claims 12-1	<b>)</b>	NO
	Industrial applicability (IA)	Claims 1-13		YES
	massia approaching ()	Claims none		NO

#### 2. Citations and explanations

#### Claims 1-11

None of the documents cited in the International Search Report disclose methods of preventing or controlling cataract, cataract-like disorders or aftercataract formation in the eye by administering an inhibitor of TGF $\beta$ . The citations also do not disclose a lens implant coated with TGF $\beta$  inhibitor. The cited documents, however, do disclose formulations comprising TGF $\beta$  inhibitors (such as decorin, biglycan and anti-TGF $\beta$  antibodies) for pharmaceutical use in a range of conditions, including cardiovascular disease, CNS pathologies, scarring, wound healing, fibrotic diseases, arteriosclerosis, diabetic nephropathy etc. Nevertheless, there is no indication that these compositions may be formulated with an oph thalmologically acceptable carrier excluding conventional pharmaceutically acceptable carriers for use in the eye. Claims 1-11 are thus novel and involve an inventive step.

#### Claims 12-13

Claims 12-13 are not clear (see Box VIII) but could be interpreted as merely defining the manufacture of a formulation comprising any TGFβ inhibitor. These claims would thus lack novelty and inventive step in the light of WO 93/21945, WO 93/19783, WO 93/10808, WO 93/09800, WO 92/17206 and WO 91/04748.

Certain published			
p	documents (Rule 70.10)	. •	
Application No. Patent No.	Publication date (day/month/year)	Filing date (day/month/year)	Priority date (valid claim) (day/month/year)
WO 94/10187	11 May 1994	26 October 1993	30 October 1992
WO 94/09815	11 May 1994	29 October 1993	29 October 1992
WO 94/01124	20 January 1994	8 July 1993	8 July 1992
ふみ	Patent No. /O 94/10187 /O 94/09815	Patent No. (day/month/year)  /O 94/10187	Patent No. (day/month/year) (day/month/year)  /O 94/10187

2.	Non-written disclosures (Rule	70.9)	
	Kind of non-written disclosure	Date of non-written disclosure (day/month/year)	Date of written disclosure referring to non-written disclosure (day/month/year)
1			

#### VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

Claims 12 and 13 lack clarity as they may be interpreted in two possible ways:

- (a) they may define a method of manufacturing a formulation which may need only be suitable for, but not limited to, preventing or controlling cataract or cataract-like disorders; or
- (b) they may define a two-step method in which both the manufacturing and treatment steps are included in which case the treatment does confer a limitation on the scope of the claim.

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#### Claims

- A method of preventing or controlling cataract or cataract-like disorders in the eye of a mammalian subject which comprises administering to the subject an effective amount of one or more inhibitors of TGFS.
- A method according to claim 1 wherein the one or 2. more inhibitors of TGFS are selected from proteins, glycoproteins and proteoglycans.
- A method according to claim 2 wherein the protein inhibitors of TGRS are selected from antibodies 10 peptide growth factors.
  - 4. Α method according to claim 2 wherein glycoprotein inhibitors of TGFS are selected from  $\alpha_2$ macroglobulin, laminin and collagen.
- 15 5. method according \ claim to 2 wherein the proteoglycan inhibitors of TGFS are selected from decorin, heparan sulfate proteoglycans and biglycan.
  - An ophthalmological formulation comprising one or more inhibitors of TGFE in a pharmaceutically acceptable carrier.
  - An ophthalmological formulation according to claim 6 7. wherein the inhibitors of TGFS are as defined in claim 2, 3, 4 or 5.
- 8. A method preventing of controlling \or "aftercataract" formation in the eye  $\setminus$ of a mammalian 25 subject following lens implant surgery which comprises implanting in the eye of the subject a lens coated with one or more TGFS inhibitors.
- A method according to claim 8 wherein 9. 30 inhibitors are as defined in claim 2, 3, 4 or 5.
  - 10. lens implant coated with one or more TGFS inhibitors.
  - A lens implant according to claim 10 coated with one or more TGFB inhibitors as defined in claim 2, 3, 4 or 5.
- The use of inhibitors of TGFS in the manufacture of 35 12. ophthalmological formulation for preventing controlling cataract or cataract-like disorders.